

COMBINED DECLARATION FOR PATENT APPLICATION  
AND POWER OF ATTORNEY

As a below named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated below next to my name,

I believe I am the original first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled

**HUMAN trk RECEPTORS AND NEUROTROPHIC FACTOR INHIBITORS**

the specification of which (check one) ☒ is attached hereto or ☐ was filed on \_\_\_\_\_ as Application Serial No. and was amended on (if applicable).

I hereby state that I have reviewed and understand the contents of the above-identified specification, including the claims, as amended by any amendment referred to above.

I hereby state that any Sequence Listing submitted with this application is submitted in paper copy and a computer-readable diskette, and that the content of the paper and computer readable copies are the same.

I acknowledge the duty to disclose information which is material to the examination of this application in accordance with Title 37, Code of Federal Regulations, Section 1.56(a).

I hereby claim foreign priority benefits under Title 35, United States Code, §119 of any foreign application(s) for patent or inventor's certificate listed below and have also identified below any foreign application for patent or inventor's certificate have a filing date before that of the application on which priority is claimed:

Prior Foreign Application(s)

Priority Claimed  
Yes No

Number Country Day/Month/Year Filed

I hereby claim the benefit under Title 35, United States Code, §120 of any United States applications(s) listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States application in the manner provided by the first paragraph of Title 35, United States Code, §112, I acknowledge the duty to disclose material information as defined in Title 37, Code of Federal Regulations, §1.56(a) which occurred between the filing date of the prior application and the national or PCT international filing date of this application:

08/215,139	18 March 1994	Pending
Application Ser. No.	Filing Date	Status: Patented, Pending, Abandoned
Application Ser. No.	Filing Date	Status: Patented, Pending, Abandoned

**POWER OF ATTORNEY:** As a named inventor, I hereby appoint the following attorney(s) and/or agent(s) to prosecute this application and transact all business in the Patent and Trademark Office connected therewith.

Walter E. Buting - Reg. No. 23,092  
Ginger R. Dreger - Reg. No. 33,055  
Renee A. Fitts - Reg. No. 35,136  
Stephen Raines - Reg. No. 25,912

Janet E. Hasak - Reg. No. 28,616  
R. Thomas Gallegos - Reg. No. 32,692  
Sean Johnston - Reg. No. 35,910  
Richard B. Love - Reg. No. 34,659  
Daryl B. Winter - Reg. No. 32,637

Send correspondence to

Genentech, Inc.  
Attn: Ginger R. Dreger  
460 Point San Bruno Boulevard  
South San Francisco, CA 94080  
Telephone: (415) 225-3216

I hereby declare that all statements made herein of my own knowledge and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment or both, under Section 1001 of Title 18 of the United States Code and that willful false statements may jeopardize the validity of the application or any patent issued thereon.

The undersigned hereby authorizes the U.S. attorney or agent named herein to accept and follow instructions from his foreign patent agent as to any action to be taken in the Patent and Trademark Office regarding this application without direct communication between the U.S. attorney or agent and the undersigned. In the event of a change in the persons from whom instructions may be taken, the U.S. attorney or agent named herein will be so notified by the undersigned.

Full name of sole or first inventor

Leonard G. Presta

Inventor's signature

*Leonard Presta*

Date

8/5/94

Residence

1900 Gough #206, San Francisco, California 94109

Citizenship

U.S.A.

Post Office Address

460 Point San Bruno Boulevard  
South San Francisco, CA 94080

Full name of second joint inventor, if any

David L. Shelton

Second Inventor's signature

*David L. Shelton*

Date

8-5-94

Residence

183 Lorry Lane, Pacifica, California 94044

Citizenship

U.S.A.

Post Office Address

460 Point San Bruno Boulevard  
South San Francisco, CA 94080

Full name of third joint inventor, if any

Roman Urfer

Third Inventor's signature

*Roman Urfer*

Date

08/05/94

Residence

380 Talbot Avenue, #302, Pacifica, California 94044

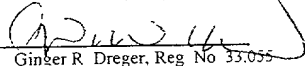
Citizenship

Switzerland

Post Office Address

460 Point San Bruno Boulevard  
South San Francisco, CA 94080

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant	:	Presta, et al.	)	Group Art Unit: 1642
App. No.	:	08/446,172	)	I hereby certify that this correspondence and all
Filed	:	May 19, 1995	)	marked attachments are being deposited with the
For	:	HUMAN TRK RECEPTORS AND	)	United States Postal Service as first-class mail in an
		NEUROTROPHIC FACTOR	)	envelope addressed to Assistant Commissioner for
		INHIBITORS	)	Patents, Washington, D.C. 20231, on
Examiner	:	N. Johnson	)	November 19, 1999
			)	(Date)
			)	
			)	Ginger R. Dreger, Reg. No. 33,055

ASSOCIATE POWER OF ATTORNEY AND CHANGE OF ADDRESS

Assistant Commissioner for Patents  
Washington, D.C. 20231

Dear Sir:

The attorneys of the law firm of Knobbe, Martens, Olson & Bear, **Customer No. 20,995**, are hereby appointed associate Powers of attorney to prosecute the above-identified application and take all actions respecting the same. **Please use Customer No. 20,995** for all communications.

Ginger Dreger remains the Attorney of Record. Please send all communications to the following address:

GINGER R. DREGER  
Knobbe, Martens, Olson & Bear, LLP  
620 Newport Center Drive  
Sixteenth Floor  
Newport Beach, CA 92660  
(949) 760 - 0404

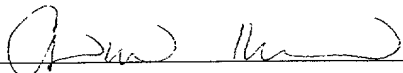
Please charge the new firm's deposit account number 11-1410, for any fees which may be due or outstanding in connection with this case.

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Respectfully submitted,

KNOBBE, MARTENS, OLSON & BEAR, LLP

Dated: November 18, 1999

By: 

Ginger R. Dreger

Registration No. 33,055

Attorney of Record

620 Newport Center Drive

Sixteenth Floor

Newport Beach, CA 92660

(949) 760-0404

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UNITED STATES DEPARTMENT OF COMMERCE  
Patent and Trademark Office

ASSISTANT SECRETARY AND COMMISSIONER  
OF PATENTS AND TRADEMARKS  
Washington, D.C. 20231

DATE: 10/19/94

TO:

N06B

GINGER R. DREGER  
LEGAL DEPT. #49  
460 POINT SAN BRUNO BLVD.  
SO. SAN FRANCISCO, CA 94080-4990

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RECEIVED

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UNITED STATES PATENT AND TRADEMARK OFFICE  
NOTICE OF RECORDATION OF ASSIGNMENT DOCUMENT

THE ENCLOSED DOCUMENT HAS BEEN RECORDED BY THE ASSIGNMENT BRANCH OF THE U.S. PATENT AND TRADEMARK OFFICE. A COMPLETE MICROFILM COPY IS AVAILABLE AT THE U.S. PATENT AND TRADEMARK OFFICE ON THE REEL AND FRAME NUMBER REFERENCED BELOW.

PLEASE REVIEW ALL INFORMATION CONTAINED ON THIS NOTICE. THE INFORMATION CONTAINED ON THIS RECORDATION NOTICE REFLECTS THE DATA PRESENT IN THE PATENT ASSIGNMENT PROCESSING SYSTEM. IF YOU SHOULD FIND ANY ERRORS OR QUESTIONS CONCERNING THIS NOTICE, YOU MAY CONTACT THE EMPLOYEE WHOSE NAME APPEARS ON THIS NOTICE AT 703-308-9723. PLEASE SEND REQUEST FOR CORRECTION TO: U.S. PATENT AND TRADEMARK OFFICE, ASSIGNMENT BRANCH, NORTH TOWER BUILDING, SUITE 10C35, WASHINGTON, D.C. 20231

ASSIGNOR:  
PRESTA, LEONARD G.

DOC DATE: 08/05/94

ASSIGNOR:  
SHELTON, DAVID L.

DOC DATE: 08/05/94

ASSIGNOR:  
URFER, ROMAN

DOC DATE: 08/05/94

RECORDATION DATE: 08/05/94 NUMBER OF PAGES 003 REEL/FRAME 7146/0369

DIGEST : ASSIGNMENT OF ASSIGNORS INTEREST

ASSIGNEE:  
GENENTECH, INC.  
LEGAL DEPARTMENT #49  
460 POINT SAN BRUNO BLVD.  
SO. SAN FRANCISCO, CA 94080-4990

SERIAL NUMBER 8-286846 FILING DATE 08/10/94  
PATENT NUMBER ISSUE DATE 00/00/00

*SC*  
EXAMINER/PARALEGAL  
ASSIGNMENT BRANCH  
ASSIGNMENT/CERTIFICATION SERVICES DIVISION

08/286846  
AID

FORM PTO-1505  
1-91-02

# RECORDATION FORM COVER SHEET PATENTS ONLY

U.S. DEPARTMENT OF COMMERCE  
Patent and Trademark Office

Tab settings

To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):

Leonard G. Presta  
David L. Shelton  
Roman Urfer

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

2. Name and address of receiving party(ies):

Name: Genentech, Inc.

Internal Address: Legal Department #49

Street Address: 460 Point San Bruno Boulevard

City: So. San Francisco State: CA ZIP: 94080-4994

Additional name(s) & address(es) attached? ☐ Yes ☒ No

3. Nature of conveyance:

- ☒ Assignment ☐ Merger  
☐ Security Agreement ☐ Change of Name  
☐ Other

Execution Date: 5 August 1994

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is: 5 August 1994

A. Patent Application No.(s)

Docket 873P1

B. Patent No.(s)

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Ginger R. Dreger

Internal Address: Legal Department #49

Street Address: 460 Point San Bruno Boulevard

City: So. San Francisco State: CA ZIP: 94080-4990

6. Total number of applications and patents involved:

1

7. Total fee (37 CFR 3.41):..... \$ 40.00

☐ Enclosed

☒ Authorized to be charged to deposit account

8. Deposit account number:

07/0630

93704556

(Attach duplicate copy of this page if paying by deposit account)

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9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Ginger R. Dreger

Name of Person Signing

Ginger R. Dreger

Signature

5 August 1994

Date

Total number of pages comprising cover sheet: 1

## A S S I G N M E N T

WHEREAS, Leonard G. Presta, a citizen of United States of America, residing at 1900 Gough, #206, San Francisco, California 94109 and David L. Shelton, a citizen of United States of America, residing at 183 Lorry Lane, Pacifica, California 94044, and Roman Urfer, a citizen of Switzerland, residing at 380 Talbot Avenue, Pacifica, California 94044, have invented a new and useful invention in

HUMAN ~~trk~~ RECEPTORS AND NEUROTROPHIC FACTOR INHIBITORS

for which an application Serial No. (Docket No. 873P1) for Letters Patent has been filed by them on 5 August 1994; and

WHEREAS, GENENTECH, INC., a corporation organized and existing under and by virtue of the laws of the State of Delaware, having a place of business at 460 Point San Bruno Boulevard, South San Francisco, California 94080, is desirous of acquiring an interest in and to said invention, and in and to the Letters Patents to be obtained therefor;

NOW, THEREFORE, be it known by all whom it may concern;

That for good and valuable consideration the receipt of which is hereby acknowledged, the said Leonard G. Presta, David L. Shelton and Roman Urfer have and do hereby sell, assign, transfer and set over unto the said GENENTECH, INC., its successors and assigns, the full and exclusive right, title and interest including all rights under the Paris Convention for the Protection of Industrial Property, in and to said invention, and in and to any and all Letters Patents to be granted and issued therefor, not only for, to, and in the United States of America, its territories and possessions, but for, to and in all other countries; and it has been and is hereby authorized and requested that the appropriate government agencies issue said Letters Patents to said GENENTECH, INC., in accordance with this Assignment.

Said Leonard G. Presta, David L. Shelton and Roman Urfer covenant and agree to cooperate with GENENTECH, INC., to enable said GENENTECH, INC. to enjoy to the fullest extent the right, title and interest herein conveyed in the United States and foreign countries. Such cooperation by said Leonard G. Presta, David L. Shelton and Roman Urfer includes prompt production of pertinent facts and documents, giving of testimony, execution of petitions, oaths, specifications, declarations or other papers, and other assistance all to the extent deemed necessary or desirable by said GENENTECH, INC., (a) for perfecting the right, title and interest herein conveyed (b) for prosecuting any of said applications; (c) for filing and prosecuting applications for reissuance of any of said patents; (e) for interference or other priority proceedings involving said invention; and (f) for legal proceedings involving said invention and any applications therefor and any patents granted thereon, including without limitation opposition proceedings, cancellation proceedings, priority contests, public use proceedings, infringement actions and court actions; provided, however, that the expense incurred by said Leonard G. Presta, David L. Shelton and Roman Urfer in providing such cooperation shall be paid for by said GENENTECH, INC.

The terms and covenants of this assignment shall inure to the benefit of said GENENTECH, INC., its successors, assigns and other legal representatives, and shall be binding upon said Leonard G. Presta, David L. Shelton and Roman Urfer, their respective heirs, legal representatives and assigns.

Said Leonard G. Presta, David L. Shelton and Roman Urfer hereby warrant and represent that they have not entered and will not enter into any assignment, contract, or understanding in conflict herewith.

IN WITNESS WHEREOF we undersign as follows;

South San Francisco

Dated: 8/5/94

  
Leonard G. Presta

South San Francisco

Dated: 8-5-94

  
David L. Shelton

South San Francisco

Dated: 8-5-94

  
Roman Urfer

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DESIGN AND TRADEMARK  
OFFICE

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